

Review

Application of Array-based Genomic and Epigenomic Technologies to Unraveling the Heterogeneous Nature of Breast Tumors: On the Road to Individualized Treatment

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Abstract. *The recent application of genomic microarray technology to the molecular profiling of breast tumors has clearly demonstrated their heterogeneous nature. Targeted treatment strategies are having a clear impact on patient survival. It has also become apparent that accumulated mutations, genomic instability, epigenetic phenomena, genetic variability and environmental factors all contribute to the uniqueness of a patient's tumor. Novel genomic and epigenetic-based technologies have been or are being developed in order to greatly enhance the analysis of tumor samples including those samples previously thought unusable due to the fixation process, such as archival formalin-fixed paraffin-embedded (FFPE) samples. Patients and their tumors can now be studied with regard to genetic variation, genomic instability, gene expression, gene mutations, and methylation patterns. These areas of research are being made more accessible through genome-wide screening technologies and will, in the near future, rapidly expand our understanding of what contributes to the unique properties of each tumor and lead to the identification of genes that could be potential therapeutic targets for specific tumor subtypes. Application of these technologies to our understanding of breast cancer will undoubtedly have an impact on the individualization of treatment for breast cancer patients in the not to distant future.*

Breast cancer is the leading cause of cancer death among women and it is estimated that in the United States approximately 40,000 women are expected to die from this disease in 2007 (29). Breast cancer will account for ~26%

of new cancer cases among women in the United States in 2007 (29) and there is a great need, therefore, to improve early detection, develop targeted therapies and implement effective individualized treatment strategies given the heterogeneous nature of the disease. The current classification system for breast cancer is inadequate but by being able to combine it with a robust molecular characterization of the tumor has the potential to ensure that optimized individual treatment regimens for maximum benefit to the patient will become a reality.

Molecular medicine is exploiting pattern-based diagnostic discoveries in genomics and proteomics, with the ultimate aim of discovering new types of biomarkers/biomarker sets for targeted therapies with improved sensitivity and specificity (3). In breast cancer, current therapies specifically include the aromatase inhibitors/SERM's, trastuzumab (Herceptin) and other targeted HER2 (epidermal growth factor receptor 2) therapies, bevacizumab (Avastin) and other anti-angiogenic agents, erlotinib (Tarceva)/cetuximab (Erbix) and other EGFR (epidermal growth factor receptor 1) targeted therapies, as well as agents directed towards two or more of these targets. But in order to maximize the effectiveness of targeted therapy and to develop novel targeted drugs tailored to the individual will require a much more detailed understanding of both the tumor as well as the patient's genetic makeup (13).

Great strides are rapidly being made in both genomic and epigenomic array-based platforms. Array-CGH with ultra-high density arrays that contain 2 million features will be available this year with unprecedented resolution across the entire genome. Single nucleotide polymorphism (SNP) analysis can now be performed on arrays that assay one million SNP loci. A molecular profiling platform is now available for analysis of over 500 genes using RNA prepared from previously unusable archival formalin-fixed tumor tissue specimens. Epigenetic-based methylation arrays have

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just become available to probe over 1,500 CpG loci selected from over 800 genes combined with high sample throughput. The ability to apply these novel genomic and epigenetic array-based technologies to rapidly characterize both the patient's genetic variability and their breast tumor with respect to prognosis and treatment outcome will be of immense benefit to the individual patient. An added benefit is that this type of detailed analysis could also potentially highlight genes and/or signaling pathways implicated in tumorigenesis.

Novel Genomic Technologies

Array-CGH. Genomic instability which can give rise to gene amplification and gene deletion, also known as DNA copy number alterations (CNAs), play important roles in tumor progression and it has become apparent that an increased number of CNAs correlate with a poor prognosis for the patient. Several genes that undergo CNAs have been identified that act as either oncogenes or tumor suppressors which are crucial factors in the disease process. In breast cancer, *ERBB2* (HER2) amplification, which occurs in 15-25% of women with early breast cancer and is associated with an aggressive form of the disease, has been linked to a poor prognosis. Amplification of *ERBB2* is also associated with responsiveness to trastuzumab, a humanized monoclonal antibody that targets the HER2 receptor (62).

Another oncogene, *MYC*, has been found to be amplified in a number of different tumors including breast. In fact, in one study (39), *MYC* amplification was found to occur in 15% of breast cancer patients. The authors also found that *MYC* amplification was associated with *ERBB2* amplification and cellular proliferation. *MYC* amplification also appears to be an early event in breast tumor development as opposed to *ERBB2* amplification which seems to occur at a later point in tumor progression (50). Co-amplified *ERBB2/MYC* correlated with hormone receptor negative status and, most strikingly, *ERBB2/MYC*-coamplified cancers had a worse prognosis than tumors with only one of these gene amplifications (4). Despite the poor prognosis, Dr. Paik and co-workers, have shown that patients, in whose tumors *ERBB2* and *MYC* are co-amplified, actually responded better to trastuzumab treatment than patients with only *ERBB2* amplified (HR for 4-year recurrence of 0.24 versus 0.63, respectively), resulting in a 4-year recurrence-free survival of over 90% (34). Therefore, contrary to initial belief, cMYC expression and *MYC* amplification status in *ERBB2*-overexpressing primary breast tumors may be a marker of trastuzumab efficacy and not resistance.

In another interesting study, Dr. Slamon and colleagues found that co-amplification of the topoisomerase II alpha gene (*TOP2A*), which occurred in ~40% of *ERBB2*-positive

patients in their study, may confer a therapeutic advantage to anthracycline-based, trastuzumab combination regimens (43). In addition, Slamon's group observed a trend towards benefit of AC-TH over both AC-T and TC (carboplatin)-H (N=744, 13 vs. 23 vs. 21 events) in the co-amplified group of patients (51). A significant benefit of AC-TH and TCH over AC-T (N=176, 45 vs. 54 vs. 92 events, $p < 0.001$) was also observed in the non co-amplified group of patients. These results indicate that CNAs of *TOP2A* may be a candidate marker for response to trastuzumab.

PTEN (phosphatase and tensin homolog) is a tumor suppressor gene that when deficient, results in resistance of breast cancer cells to trastuzumab therapy (38). This is thought to be a consequence of deregulation of the PI3K (phosphoinositide-3-kinase)-AKT (v-akt murine thymoma viral oncogene homolog) -mTOR (FK506 binding protein 12-rapamycin associated protein) pathway. Translocation of *PTEN* to the nucleus has also recently been found to help maintain chromosomal stability (49) ascribing an additional tumor suppressor role to *PTEN*. Loss of heterozygosity (LOH) at the *PTEN* locus has been found to occur in breast cancer (~24%; 31 of 131 cases) and is correlated with *HER2* overexpression (57). In prostate cancer, *PTEN* gene deletion, which was found to occur in 68% of cases (24/35), suggests that loss of the tumor suppressor gene, *PTEN*, is an important event in prostate cancer progression (63). The consequences of *PTEN* gene deletion in breast cancer have not been studied, but both the LOH study in breast cancer and the FISH study in prostate cancer suggest that this would be an important avenue to explore.

Thus, genes such as *MYC*, *TOP2A* and *PTEN* have potential, clinical utility as prognostic and/or predictive markers of trastuzumab response in primary *ERBB2*-amplified breast cancer patients. Other as yet unidentified amplified or deleted genes may play important roles as biomarkers of prognosis or act as putative biomarkers of response to treatment and/or relapse. Some may also play critical roles in the pathobiology of breast cancer. The ability to scan the entire genome for CNAs at high resolution would be invaluable towards discovering important prognostic and or predictive breast cancer genes.

Array-Comparative Genomic Hybridization (array-CGH), introduced back in 1998 (42), was a major advance in array-CGH technology because it enabled the analysis of chromosomal CNAs that occurred as a result of genomic instability without the need to culture tumor cells. The probe sets used on array-CGH are of two basic types, large insert clones such as bacterial artificial chromosomes (BACs) or more recently, oligonucleotides (generally 60 to 70-mers). In general, most published array-CGH studies have been conducted at a resolution of 1 to 2 Mb. Current technology has resulted in the manufacturing of high-density CGH-arrays with greatly increased resolution and in the

case of NimbleGen, Systems Inc. (Madison, WI, USA) their arrays are tiled with probes through genic and intergenic regions at a median probe spacing of 6 kb. A generalized array-CGH scheme is shown in Figure 1. This type of resolution will ensure that genome-wide CNAs will be possible to detect.

With regard to breast cancer, array-CGH has been used principally to identify novel breast cancer-related genes, genes that can be used to classify different types of breast cancer or to discover genes that yield prognostic or predictive information. With the availability of high-density array-CGH, disease-related gene aberrations, including translocation breakpoints, should be more easily identified. Previous CGH studies, either chromosome-based or BAC array-based, have identified chromosomal changes related to clinicopathological parameters such as tumor grade, estrogen receptor (ER) status, tumor subtype or overall survival. Loss on 5q and gain on 2p and 6p, for example, have been found to occur more frequently in high-grade tumors (45). In a BAC array-based CGH study, gain on 1q and loss on 5q occurred more frequently in ER-negative tumors (36). Several amplified loci, including 17q12 (*ERBB2*) and 20q13 (*ZNF217*) (zinc finger protein 217) (6, 12) have also been shown to correlate with overall survival (46, 56). BAC array-CGH was used to assess copy number CNAs in ER-positive breast tumor tissue in order to determine if the observed changes would be different for samples from patients who had distant recurrence within 5 years *versus* those who were without disease at least 5 years after diagnosis. What was found was that although CNAs occurred with similar frequencies, CNAs were significantly different between the recurrence group and non-recurrence group samples (25).

Array-CGH has been used in the classification of breast tumors and shown that subtypes can be discerned based on genomic instability patterns. In one study, array-CGH made up of 2464 genomic clones, was applied to the analysis of CNAs in 62 sporadic invasive ductal carcinomas and three breast tumor subtypes were found based upon genomic DNA CNAs (19). The breast cancer subtypes Luminal A, Luminal B, HER2 and Basal-like, as defined by expression profiling of 78 tumors (52), were also found to yield distinct CNA profiles suggesting that genomic instability mechanisms differ between subtypes (6).

The use of array-CGH will allow for the identification of chromosomal aberrations in breast cancer that have, heretofore, not been detected and should also help in the discovery of novel breast cancer-related genes that will be key to understanding the molecular mechanisms underlying the disease. The technology is at a point where high resolution arrays are now available and this will greatly enhance the utility of this technology. Another important advance is the ability to perform array-CGH on archival

FFPE tumor samples. Recent studies have addressed this important issue and shown that DNA can be prepared from FFPE tissue samples and used successfully in array-CGH (31, 32, 35). Taken together, these technical improvements in array-CGH open up the possibility of using this platform in the clinical setting for the diagnosis of breast cancer.

SNP arrays. Breast cancer is a major disease that has a complex genetic component in which genetic susceptibility results from the combined effect of a number of variant genes that contribute to the disease etiology and can impact on response to treatment. Finding these genes and understanding the role that they play is an important goal for improving breast cancer prognosis. SNPs have been linked to individual variations in breast cancer susceptibility. Genetic variations in genes involved in ER and drug metabolism, genes involved in cell-cycle control as well as variations depending on ethnic background have been found to contribute to breast cancer susceptibility. The best evidence for linking SNPs to breast cancer comes from the Nurses' Health Study which examined a large and primarily healthy population of women in a prospective study with long term follow-up on the development of breast cancer. During a 10-year observation period the study identified seven polymorphisms that were overrepresented and could act as small but significant risk factors for non-hereditary breast cancer. Of the seven SNPs identified, three are in genes involved in the activation by or metabolism of sex steroids (*PGR*, progesterone receptor; *AR*, androgen receptor; *CYP19A1*, aromatase) (14, 22, 23), two in DNA repair (*XRCC1*, X-ray repair complementing defective repair in Chinese hamster cells; *XRCC2*) (24), one in metabolism of both sex steroids and xenobiotics (*CYP1A1*, aryl hydrocarbon hydroxylase) (28), and one in cell cycle control (*VDR*, vitamin D receptor) (11). In a meta-analysis of seven case-control studies that involved 4,284 individuals, a variant of the *TGFBR1* (transforming growth factor receptor beta I) gene (*TGFBR1*6A*), a gene involved in cell-cycle control, was determined to be a strong risk factor for breast cancer and well as other cancers such as ovarian, colon and hematological malignancies (33).

These genetic variants add another layer of complexity to the already heterogeneous nature of breast tumors but the question then becomes what is the most efficient way of identifying such variants? Most genotyping efforts have focused on the candidate gene approach, however, newer array-based technologies are allowing for empirical genome-wide studies to be conducted. In order to enable large-scale genotyping studies to be feasible, the whole-genome genotyping assay (WGA), which genotypes array-hybridized genomic targets directly, was developed at Illumina Inc. (San Diego, CA, USA) (21). The Infinium™ WGA is a

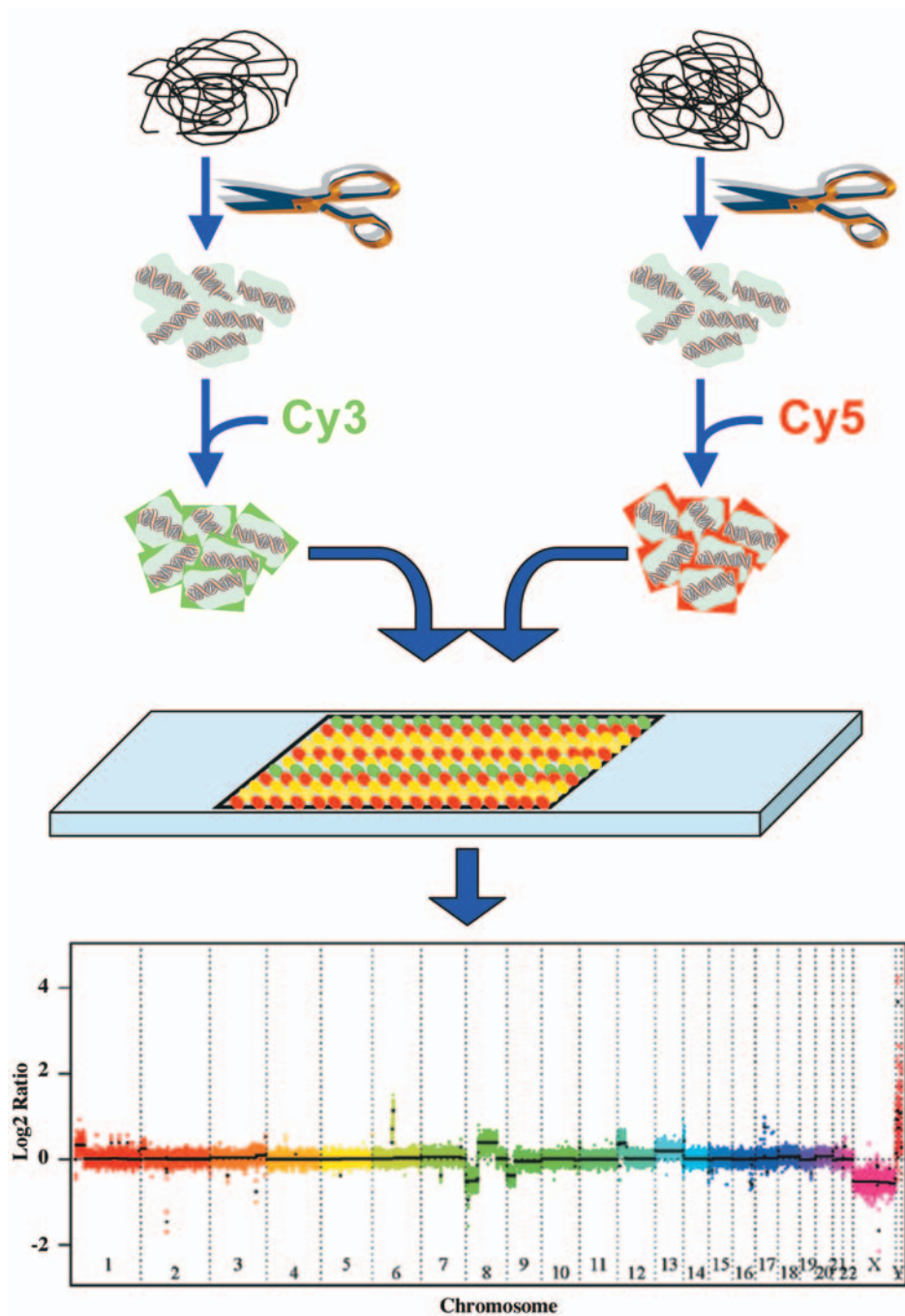


Figure 1. Array-CGH assay. 1. Test genomic DNA is isolated from a patient's tumor sample and reference genomic DNA is isolated from normal tissue of the same patient. 2. The DNA is randomly fragmented into lower molecular weight species. 3. The test and reference DNA fragments are differentially labeled with fluorescent dyes, Cy3 (green) and Cy5 (red). 4. Both the test and reference labeled DNA fragments are hybridized to the array. In case of a deletion in the test DNA, less test DNA will bind to a particular array spot and the red label of the reference DNA will be more intense; gains in the test genome can be identified by a dominance of the green label of the test DNA. Spots, representing sequences with the same copy number in the test genome relative to the reference genome appear yellow. 5. The data from the array is processed such that genome-wide chromosomal gains and losses can be detected in a tumor sample. The array can be made of BACs or oligonucleotides tiled over the entire genome, however, the use of oligonucleotides, can greatly increase the resolution of the array.

scalable genome-wide SNP genotyping assay that can interrogate a large number of SNPs, which is currently up to 1 million SNP loci. The assay scheme is described in

Figure 2. Using this assay with 550,000 SNPs, a genome-wide association study in the Cancer Genetic Markers of Susceptibility project identified a new SNP association at

8q24 on prostate cancer susceptibility (61). This SNP (rs6983267) is independent of a previously identified SNP (rs1447295) within 8q24 that was found to contribute to prostate cancer in men of European ancestry (5). The application of these types of genome-wide arrays in future breast cancer studies will have the potential to identify novel variants that contribute to breast cancer.

Gene expression profiling of breast tumor tissue. The heterogeneous nature of breast tumors at the molecular level really became apparent with the application of microarray-based gene expression profiling platform to analyze RNA prepared from freshly frozen tumor tissue samples. Initial studies published by Perou and colleagues (40, 41) and Sorlie and co-workers (52) clearly identified breast tumor subtypes using non-supervised hierarchical clustering of tumor samples. They were able to establish that breast tumors could be phenotypically classified into subtypes distinguishable by differences in their expression profiles into at least four subtypes including ER-positive Luminal A; ER-positive Luminal B; HER2-positive, ER-negative; Basal-like (ER negative, PGR-negative, HER2-negative; so-called Triple Negative) and that these molecular subtypes were highly significantly correlated with overall survival (53).

Van't Veer and colleagues (59) applied supervised hierarchical clustering to identify a 70-gene-expression signature strongly predictive of a short interval to distant metastasis in primary, LN-negative, breast tumors of young patients (<55 yrs old). The utility of the 70-gene prognosis profile was subsequently confirmed in a follow-up study in which multivariate Cox regression analysis showed that the prognostic profile was a strong independent factor for predicting disease outcome and was a more powerful predictor of the outcome of disease in young patients with breast cancer than standard systems based on clinical and/or histologic criteria (58).

Piccart and colleagues (10) recently presented the validation of the Amsterdam 70-gene prognostic signature in untreated breast cancer using frozen archival tumor material of LN-negative patients aged <61 years old at the time of diagnosis. Their results provide evidence for the clinical value of this new genomic test but the definitive evidence for the clinical utility of the 70-gene prognosis signature compared with that of traditional prognostic indicators for assigning adjuvant chemotherapy to patients with node-negative breast cancer will be sought in the MINDACT clinical trial (9).

Applying the DASL assay to the molecular profiling of FFPE breast tumor tissue. In order to conduct expression profiling using microarrays, freshly-frozen tissue is required as the starting material for RNA extraction. This presents a problem for exploiting FFPE tumor tissue samples, by far the

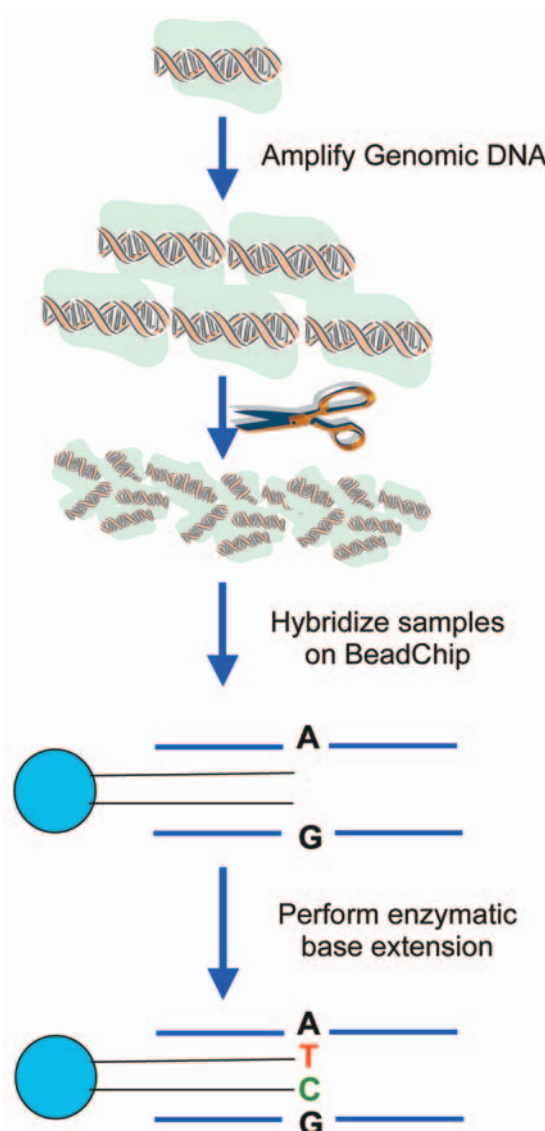


Figure 2. The Infinium Whole-Genome Genotyping assay (WGA). The WGA can be used to interrogate large numbers of SNPs, currently up to 1 million per WGA. Genomic DNA must first be amplified followed by fragmentation. The DNA is then purified and applied to BeadChips during an overnight incubation. The amplified fragments anneal to 50-mers which are locus-specific and that are covalently linked to 1 million different bead types. Each bead type corresponds to each allele per SNP locus. Enzymatic base extension followed by fluorescent staining results in differential bead intensities which are detected using a BeadArray reader and the data is subsequently analyzed in order to determine the SNP profile of the particular DNA sample.

most abundant source of archival clinically well-annotated specimens available, for molecular profiling studies. The formalin fixation process applied to tissue samples causes RNA fragmentation and chemical modification to occur (2, 18, 20, 44, 47, 48, 54, 55), however, despite this, Companies such as Roche Diagnostics Corp. (Indianapolis, IN, USA),

Qiagen Inc. (Valencia, CA, USA), Ambion (Austin, TX, USA), Stratagene (La Jolla, CA, USA) and SuperArray (Frederick, MD, USA) have developed kits for the extraction of total RNA from FFPE tissue samples that can be used to perform qRT-PCR- (quantitative Reverse Transcriptase-Polymerase Chain Reaction). The ability to tap into this wealth of available FFPE tissue samples in conjunction with a molecular profiling system would be of great benefit to help identify bona fide prognostic and predictive genes.

The company, Illumina, has been specifically designed the DASL (cDNA-mediated Annealing, Selection, extension and Ligation) assay as a gene expression profiling system to be used with degraded RNAs such as those derived from FFPE tumor samples. The procedure employs random priming for cDNA synthesis and, therefore, probes are designed such that they can target any unique region of the gene without limiting the selection of the optimal probe to the 3' ends of transcripts. In addition, due to the small size of the targeted gene sequence (~50 nucleotides), RNAs that are otherwise too degraded for conventional microarray analysis can be readily detected.

The DASL assay requires the use of specifically designed complex oligonucleotides. The 5' oligonucleotides consist of two parts: the gene specific sequence and a universal PCR primer sequence. The 3'-oligos consist of three parts: the gene specific sequence, a unique address sequence which is complementary to one of 1536 capture sequences on the array and a universal PCR primer sequence at the 3' end. A single address sequence is uniquely associated with a single target site. This address sequence allows the PCR-amplified products to hybridize to a universal beadarray bearing the complementary address sequences (Figure 3). Oligonucleotides targeting 512 cancer-related genes can be used at a density of three non-overlapping probe sets per gene which results in a 1536-plex measurement for each sample (8, 16).

A 231 gene cancer panel was used in the DASL assay in order to profile both breast and colon cancer FFPE tumor samples. Cluster analysis was able to separate breast from colon tissue types and subsequently, divide each tissue sample set into cancer *versus* normal (8). By designing a breast cancer specific panel for the DASL platform, therefore, has the potential to enable the identification of differential signature gene sets that can be used for diagnosis, prognosis and/or monitoring of breast cancer that will be more effective than current traditional clinical/pathological factors or molecular-based signatures.

Epigenetics and Breast Cancer

It is becoming more evident that not only are genetic changes important in cancer progression and tumor growth but also epigenetic changes which involve subtle molecular modifications that can result in the activation and or

suppression of genes leading to abnormalities resulting in tumorigenesis (15). Epigenetic changes have been discovered in many cancers including breast, colon, prostate and blood. Two important molecular mechanisms of epigenetic modification include aberrant methylation of the cytosine residue of DNA that results in either gene suppression or activation and acetylation of histones which results in gene activation. The epigenotype is affected by such factors as environment, age, epigenotype of the parents and the genotype at the loci that regulate DNA methylation and chromatin.

Unlike genetic mutations, epigenetic modifications are reversible and have opened up the possibility of developing drugs that can alter the epigenetic profile of a tumor. To date, two drugs that inhibit methylation, azacitidine (Vidaza) and decitabine (Dacogen), and are both used in the treatment of myelodysplastic syndrome, a blood disorder that can lead to leukemia, have been approved by the FDA in 2004 and 2006, respectively. Vorinostat (Zolinza) is the first oral histone deacetylase (HDAC) inhibitor to be approved by the FDA as an anti-cancer agent for the treatment of skin manifestations of cutaneous T-cell lymphoma (TCL) (1).

In breast cancer, low expression of tumor suppressor genes is linked to tumorigenesis and there is evidence that epigenetic factors are involved in this process. In breast cancer cell lines for example, the state of methylation of certain genes, tumor suppressors or oncogenes, shed light on the important role that aberrant methylation may play in breast cancer progression (27). In one study, methylation data was obtained from 86 primary breast cancers and revealed that aggressive tumors tend to exhibit higher levels of promoter hypermethylation (60). Tumor suppressor genes such as *BRCA1* (breast cancer 1, early onset) and *SFN* (stratifin; 14-3-3sigma) or genes involved in cell adhesion such as *CADMI* (cell adhesion molecule 1; TSLC1) and *EPB41L3* (erythrocyte membrane protein band 4.1-like 3; DAL-1), are inactivated by methylation (26, 30). DNA methylation was also studied with regard to patients whose tumors were resistant to endocrine therapy with recurrent breast cancer. The DNA methylation status was evaluated for 117 candidate genes in a cohort of 200 steroid hormone receptor-positive tumors from patients who received the antiestrogen tamoxifen as first-line treatment for recurrent breast cancer. The study found that 10 genes were significantly associated with clinical outcome of tamoxifen therapy. Of interest, hypermethylation of the promoter region of one gene in particular, *PSAT1* (phosphoserine aminotransferase), was associated with a favorable clinical outcome and therefore, an indicator of response to tamoxifen in ER-positive patients with recurrent breast cancer (37).

Methylation microarrays. The application of methylation microarrays to the study of cancer in general and breast cancer in particular is very recent. In one such study,

published at the end of 2006, changes in DNA methylation were assessed by differential methylation hybridization, a high-throughput promoter CpG island microarray analysis. The authors investigated promoter DNA methylation profiles associated with acquired resistance to fulvestrant *versus* tamoxifen. The results showed that growth-promoting genes were more frequently activated due to promoter hypomethylation compared with gene inactivation by promoter hypermethylation in antiestrogen-resistant cells (17). The ability to scan the entire genome for hyper- and hypo-methylated sites could allow for the identification of therapeutic targets and strategies for resensitization.

Illumina has developed an array-based platform that combines high sample throughput with single-site CpG resolution for DNA methylation profiling (7). The assay involves treating the genomic DNA with bisulfite to convert unmethylated cytosines to uracil leaving methylcytosines unchanged which results in non-complementary top and bottom strands. The allele specific query oligonucleotides employing two different dyes are used to interrogate methylated and non-methylated sites of 1536 different CpG sites simultaneously. The DNA methylation assay scheme is detailed in Figure 4. Illumina has created their standard Cancer Methylation Panel, that spans 1,505 CpG loci selected from 807 genes with 71% of those selected genes containing at least two CpG sites. The genes selected for the Cancer panel fall into various classes, including tumor suppressor genes, oncogenes, genes involved in DNA repair, cell cycle control, differentiation, apoptosis, X-linked and imprinted genes. Custom selected CpG sites will also be feasible using this platform, which will allow any gene to be evaluated in the assay.

Conclusion

A number of factors, including genomic and epigenomic, contribute to the heterogeneous nature of breast cancer and consequently, newly developed targeted anti-cancer drugs will only be effective in a subset of patients and perhaps at only a certain stage of their disease. Therefore, the uniqueness of each individual patient's tumor in the context of their unique genetic background needs to be addressed in personalized medicine. The development over the past few years of genome-wide array technologies will allow scientists involved in translational research to uncover novel genes, associations and mechanisms related to breast cancer progression and development as never before. Genomic instability to varying degrees is found in all breast tumors. Array-CGH now has the resolution to allow researchers to more rapidly identify genes for which genomic CNAs have been found and relate them to different breast cancer phenotypes. Million SNP arrays will help uncover novel variant genes associated with

breast cancer. Molecular profiling of FFPE tumor samples, the most abundant source of well-annotated archival breast cancer clinical specimens, will help identify novel prognostic as well as predictive gene sets. Methylation arrays will contribute to our understanding of the role that epigenetics plays in breast cancer. These technologies will certainly help towards unraveling the uniqueness of each tumor and contribute to our understanding of breast cancer and the development of novel targeted therapeutics with the goal of individualizing treatment to maximize benefit to the patient. The layers of complexity, however, are great, and will ultimately require a systems biology approach that takes into account all genomic, epigenomic, proteomic and environmental factors in order to understand how all of the parts fit together in order to unravel the unique properties of each and every breast tumor.

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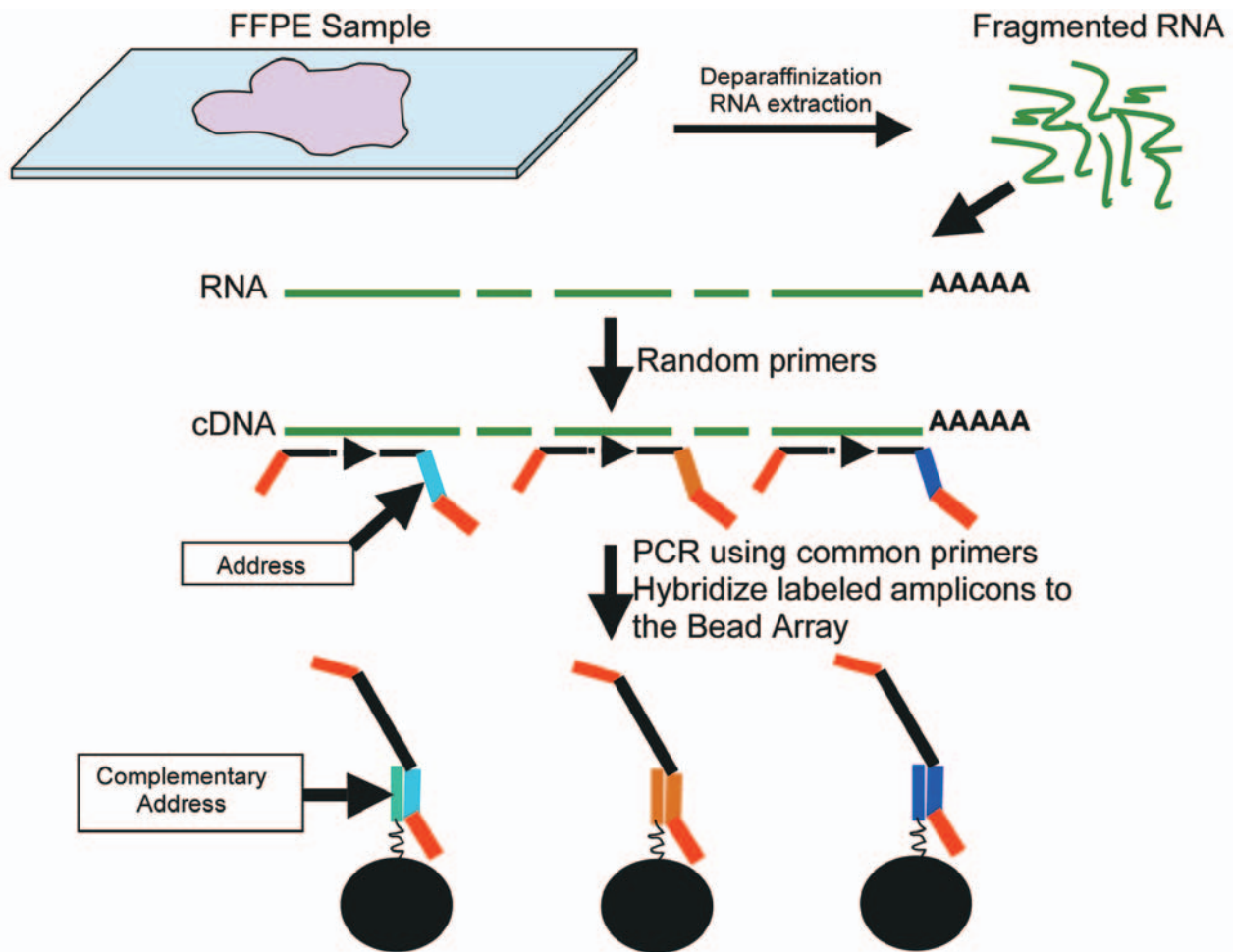


Figure 3. The DASL assay. FFPE tumor tissue samples, 5-10 micron shaved sections or from microscope slides, are first subjected to deparaffinization and then RNA extraction. The extracted RNA is fragmented with an average size of ~200 nucleotides. Biotinylated cDNA is made from the fragmented RNA using random primers (9-mers). Complex oligonucleotides that contain a gene specific sequence and a unique address sequence are used to selectively bind to the cDNA. Extension and then ligation follows resulting in cDNA products which are subsequently amplified using fluorescently-labeled common primers. Depicted are three gene-specific oligonucleotide probe sets hybridized to cDNAs from a single gene. The amplified products contain 1,536 unique addresses (3 addresses per gene, therefore 512 genes) and are hybridized to the 50,000 bead-array (each unique complementary address bead at ~30-fold redundancy). The address sequence directs hybridization to their complements on the universal bead arrays.

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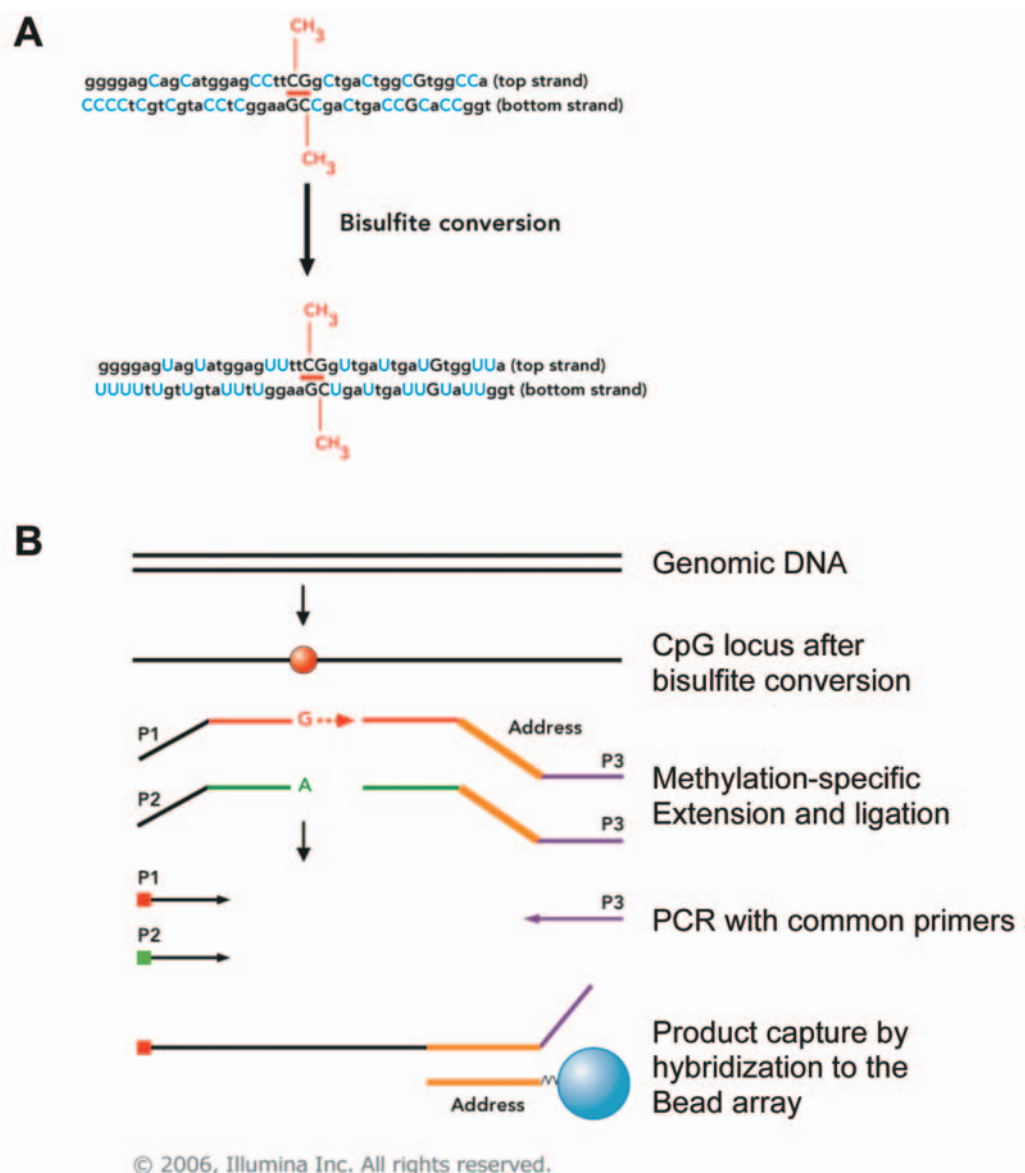


Figure 4. The DNA methylation assay. (A) In order to perform the DNA methylation assay, genomic DNA must first undergo bisulfite conversion. Cytosines are converted to uracils but methyl-cytosine is unreactive. (B) In order to take advantage of this differential reactivity to bisulfite treatment, two pairs of complex oligonucleotide probe sets are designed for each CpG site. The first probe pair is made up of an allele-specific oligonucleotide (ASO) and locus-specific oligonucleotide (LSO) to interrogate the methylated state of the CpG site and a corresponding ASO-LSO pair for the unmethylated state. The ASO is used to determine if a site is methylated or not and also incorporates a universal PCR primer sequence P1 or P2. P1 and P2 are fluorescently labeled, each with a different dye, and associated with the "T" (unmethylated) allele or the "C" (methylated) allele, respectively. The LSO is made up of three parts, a CpG locus-specific sequence, an address sequence in the middle corresponding to a complementary address sequence on the bead-array, and a universal PCR priming site (P3) at the 3'-end. The pooled assay oligonucleotides are first annealed to bisulfite-converted genomic DNA. An allele-specific primer extension step is then carried out using ASOs which are extended only if their 3'-base is complementary to their cognate CpG site in the genomic DNA template. Allele-specific extension is followed by ligation of the extended ASOs to their corresponding LSOs, to create PCR templates. Common primers P1, P2, and P3 are then used to amplify the ligated products which are subsequently hybridized to a microarray bearing the complementary address sequences.

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